



**PATENT**  
Attorney Docket No. P1868USA

#  
8  
4-2-03  
MB

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Scalart et al.

Art Unit: 2747

Application No. 09/528,952

Examiner: Unassigned

Filed: March 20, 2000

For: ADAPTIVE IDENTIFICATION METHOD  
AND DEVICE, AND ADAPTIVE ECHO  
CANCELLER IMPLEMENTING SUCH  
METHOD

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

**FEB 03 2003**

Attn: Office of Initial Patent Examination  
Customer Service Center

Technology Center 2600

Sir:

Applicants hereby request that a corrected filing receipt be issued in the above-identified patent application.

Please add the following data to "Foreign Applications":

--FRANCE 99 03822 03/26/1999--

A copy of the filing receipt with the change noted is attached. Also enclosed are copies of the Patent Application Transmittal with priority claim and the front page of the prior French application as submitted to the U.S. Patent and Trademark Office on March 20, 2000.

Respectfully submitted,

Brian C. Rupp, Reg. No. 35,665  
GARDNER CARTON & DOUGLAS LLC  
191 N. Wacker Drive  
Suite 3700  
Chicago, Illinois 60606-1698  
Telephone: (312) 569-1000  
Facsimile: (312) 569-3000

Date: January 13, 2003

In re Appln. of Duret et al.  
Application No. 09/528,952

CERTIFICATE OF MAILING

I hereby certify that this REQUEST FOR CORRECTED FILING RECEIPT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date: January 13, 2003 J. Milticek

CH02/22224599.1



## FILING RECEIPT

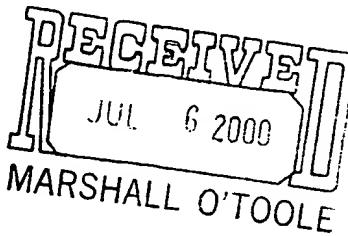


\*OC00000005210895\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/528,952	03/20/2000	2747	892	28944/36228	4	24	3

William E McCracken  
Marshall O'toole Gerstein Murray & Borun  
6300 Sears Tower  
230 South Wacker Drive  
Chicago, IL 60606-6402



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Date Mailed: 06/29/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Pascal Scalart, Ploubezre, FRANCE;  
Franck Bouteille, Lannion, FRANCE;

## Continuing Data as Claimed by Applicant

## Foreign Applications

FRANCE 99 03822 03/26/1999

If Required, Foreign Filing License Granted 05/30/2000

## Title

Adaptive identification method and device, and adaptive echo canceller implementing such method

## Preliminary Class

381

Data entry by : JACKSON, MINNIE

Team : OIPE

Date: 06/29/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 28944/36228

### PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

**Box Patent Application**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Pascal SCALART and Franck BOUTEILLE

Title: ADAPTIVE IDENTIFICATION METHOD AND DEVICE,  
AND ADAPTIVE

#### 1. Type of Application

- This is a new application for a
  - utility patent.
  - design patent.
- This is a continuation-in-part application of prior application no.

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**FEB 03 2003**

**Technology Center 2600**

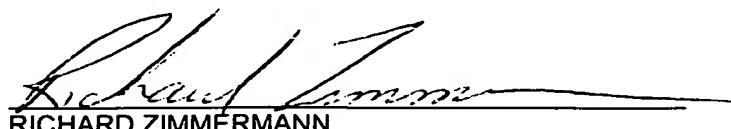
#### 2. Application Papers Enclosed

- 1 Title Page
- 14 Pages of Specification (excluding Claims, Abstract, Drawings & Sequence Listing)
- 5 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheet(s) of Drawings (Figs. 1 to 4)
  - Formal
  - Informal
- Page(s) of Sequence Listing

---

#### CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **March 20, 2000**, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EM099900135US.



RICHARD ZIMMERMANN

**3. Declaration or Oath**

- Enclosed
  - Executed by (check all applicable boxes)
    - Inventor(s)
    - Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)
    - Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached
    - The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee.
  - Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

**4. Additional Papers Enclosed**

- Preliminary Amendment
- Information Disclosure Statement
- Declaration of Biological Deposit
- Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence
- Microfiche computer program
- Verified statement(s) claiming small entity status under 37 CFR 1.9 and 1.27
- Associate Power of Attorney
- Verified translation of a non-English patent application
- An assignment of the invention
- Return receipt postcard
- Other

5. Priority Applications Under 35 USC 119

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

are attached.

will follow.

COUNTRY	APPLICATION NO.	FILED
France	99 03822	March 26, 1999

6. Filing Fee Calculation (37 CFR 1.16)

A.  Utility Application

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$345.00		\$690.00
TOTAL	24 -20	= 4	X 9 =	\$	X 18 =	\$72.00
INDEP.	3 - 3	= 0	X 39 =	\$	X 78 =	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 130 =	\$	+ 260 =	\$
Filing Fee:				\$	OR	\$762.00

B.  Design Application (\$155.00/\$310.00) Filing Fee: \$ \_\_\_\_\_

C.  Plant Application (\$240.00/\$480.00) Filing Fee: \$ \_\_\_\_\_

D. Other Fees

Recording Assignment [Fee - \$40.00 per assignment] \$ \_\_\_\_\_

Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee - \$130.00] \$ \_\_\_\_\_

Other \$ \_\_\_\_\_

Total Fees Enclosed \$762.00

7. **Method of Payment of Fees**

Enclosed check in the amount of: \$762.00

Charge Deposit Account No. 13-2855 in the amount of: \$\_\_\_\_\_  
A copy of this Transmittal is enclosed.

Not enclosed

8. **Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, O'Toole, Gerstein, Murray & Borun at the address below.

Please direct all future communications to William E. McCracken, at the address below.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN,  
MURRAY & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6402  
(312) 474-6300  
(312) 474-0448 (Telefacsimile)

By:



William E. McCracken  
Reg. No: 30,195

March 20, 2000

JAN 21 2003

PATENT & TRADEMARK OFFICE

REQUÊTE EN DÉLIVRANCE

Confirmation d'un dépôt par télécopie

Cet imprimé est à remplir à l'encre noire en lettres capitales

Réserve à l'INPI

DATE DE REMISE DES PIÈCES

26 mars 99

N° D'ENREGISTREMENT NATIONAL

99 03822

DÉPARTEMENT DE DÉPÔT

75

26 MARS 1999

DATE DE DÉPÔT

1

NOM ET ADRESSE DU DEMANDEUR OU DU MANDATAIRE  
À QUI LA CORRESPONDANCE DOIT ÊTRE ADRESSÉE

CABINET PLASSERAUD  
84, rue d'Amsterdam  
75440 PARIS CEDEX 09

2 DEMANDE Nature du titre de propriété industrielle

brevet d'invention  demande divisionnaire

demande initiale

certificat d'utilité

transformation d'une demande  
de brevet européen

brevet d'invention

Établissement du rapport de recherche

diffère  immédiat

mandeur, personne physique, requiert le paiement échelonné de la redevance

oui  non

Titre de l'invention (200 caractères maximum)

PROCEDE ET DISPOSITIF D'IDENTIFICATION ADAPTATIVE, ET ANNULEUR D'ECHO ADAPTATIF MÉTANT  
EN OEUVRE UN TEL PROCEDE

COPY

3 DEMANDEUR (S) n° SIREN

code APE-NAF

Nom et prénoms (souligner le nom patronymique) ou dénomination

FRANCE TELECOM

Forme juridique

Société Anonyme

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FEB 03 2003

Technology Center 2600

Nationalité (s) Française

Pays

Adresse (s) complète (s)

FRANCE

6, place d'Alleray  
75015 PARIS

En cas d'insuffisance de place, poursuivre sur papier libre

4 INVENTEUR (S) Les inventeurs sont les demandeurs

oui

non

Si la réponse est non, fournir une désignation séparée

5 RÉDUCTION DU TAUX DES REDEVANCES

requise pour la 1ère fois

requise antérieurement au dépôt : joindre copie de la décision d'admission

6 DÉCLARATION DE PRIORITÉ OU REQUÊTE DU BÉNÉFICE DE LA DATE DE DÉPÔT D'UNE DEMANDE ANTIÉRIEURE

pays d'origine

numéro

date de dépôt

nature de la demande

7 DIVISIONS antérieures à la présente demande n°

date

n°

date

8 SIGNATURE DU DEMANDEUR OU DU MANDATAIRE  
(nom et qualité du signataire)

B. LOISEL, n° 94-03811  
CABINET PLASSERAUD

SIGNATURE DU PRÉPOSÉ À LA RÉCEPTION

SIGNATURE APRÈS ENREGISTREMENT DE LA DEMANDE À L'INPI



O I P E  
JAN 21 2003  
INSTITUT  
NATIONAL DE  
LA PROPRIETE  
INDUSTRIELLE  
PATENT & TRADEMARK OFFICE

BREVET D'INVENTION / CERTIFICAT D'  
BLO/FC-BFF990...

DÉSIGNATION DE L'INVENTEUR  
(si le demandeur n'est pas l'inventeur ou l'unique inventeur)

N° D'ENREGISTREMENT NATIONAL

2503822

DEPARTEMENT DES BREVETS

26bis, rue de Saint-Pétersbourg  
75800 Paris Cedex 08  
Tél. : 01 53 04 53 04 - Télécopie : 01 42 93 59 30

TITRE DE L'INVENTION : PROCEDE ET DISPOSITIF D'IDENTIFICATION ADAPTATIVE, ET ANNULEUR  
D'ECCHO ADAPTATIF METTANT EN OEUVE UN TEL PROCEDE

LA DEMANDERESSE : FRANCE TELECOM

ayant pour mandataire

LE(S) SOUSSIGNÉ(S)

CABINET PLASSERAUD  
84, rue d'Amsterdam  
75440 PARIS CEDEX 09

DÉSIGNE(NT) EN TANT QU'INVENTEUR(S) (indiquer nom, prénoms, adresse et souligner le nom patronymique) :

SCALART, Pascal  
Les Cinq Croix  
22300 PLOUBEZRE  
FRANCE

BOUTEILLE, Franck  
8, rue de Kerniflet  
22300 LANNION  
FRANCE

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NOTA : A titre exceptionnel, le nom de l'inventeur peut être suivi de celui de la société à laquelle il appartient (société d'appartenance) lorsque celle-ci est différente de la société déposante ou titulaire.

Date et signature (s) du mandataire et/ou du mandataire Paris, le 25 mars 1999

B. LOISEL  
n° 94-0311  
CABINET PLASSERAUD



# FILE COPY

Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5825

SERIAL NUMBER 09/528,952	FILING DATE 03/20/2000 RULE	CLASS 381	GROUP ART UNIT 2644	ATTORNEY DOCKET NO. 28944/36228
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**APPLICANTS**

Pascal Scalart, Ploubezre, FRANCE;  
Franck Bouteille, Lannion, FRANCE;

**\*\* CONTINUING DATA \*\*\*\*\*****\*\* FOREIGN APPLICATIONS \*\*\*\*\***

FRANCE 99 03822 03/26/1999

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 05/30/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY FRANCE	SHEETS DRAWING 4	TOTAL CLAIMS 24	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials			

**ADDRESS**

William E McCracken  
Marshall O'toole Gerstein Murray & Borun  
6300 Sears Tower  
230 South Wacker Drive  
Chicago ,IL 60606-6402

**TITLE**

Adaptive identification method and device, and adaptive echo canceller implementing such method

FILING FEE RECEIVED 892	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

OIPE JC134  
JAN 2 1 2003  
PATENT & TRADEMARK OFFICE

Please type a plus sign (+) inside this box →

Approved for use through 09/30/2000, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/528,952
Filing Date	March 20, 2000
First Named Inventor	Pascal SCALART
Group Art Unit	2747
Examiner Name	Unassigned

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FEB 03 2003

Total Number of Pages in This Submission

12

Attorney Docket Number

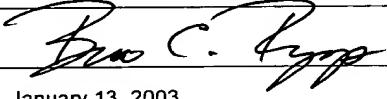
P1868USA

Technology Center 2600

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Request for Corrected Filing Receipt; Copy of Filing Receipt; Copy of Patent Application Transmittal; Copy of 2 Front Pages of FR 9903822; Certificate of Mailing under 37 CFR 1.8; Post Card</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Brian C. Rupp, Reg. No. 35,665 Gardner Carton & Douglas LLC
Signature	
Date	January 13, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date January 13, 2003

Typed or printed name	Irina L. Mikitiouk		
Signature		Date	January 13, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

CH02/22224605.1